文章编号: 1001-9014(2025)04-0553-09

# Design of commonly-resonated extended interaction circuits for submillimeter-wave phase-locked oscillators

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Abstract: In this paper, a scheme of commonly-resonated extended interaction circuit system based on high order  $TM_{n1}$  mode is proposed to lock the phases of two extended interaction oscillators (EIOs) for generating high power at G-band. Two separate EIOs are coupled through a specific single-gap coupling field supported by a designed gap waveguide with length  $L_g$ , which form the phase-locked EIOs based on the commonly-resonated system. As a whole system, the system has been focused on with mode analysis based on different single-gap coupling fields, mode hopping, which present the variation of phase difference between the two-beam-wave interactions when changing  $L_g$ . To demonstrate the effectiveness of the proposed circuit system in producing the phase locking, we conducted particle-in-cell (PIC) simulations to show that the interesting mode hopping occurs with the phase difference of 0 and  $\pi$  between the output signals from two output ports, corresponding to the excitation of the  $TM_{n1}$  mode with different *n*. Simulation results show that 1) the oscillator can deliver two times of the output power obtained from one single oscillator at 220 GHz, 2) the two EIOs can still deliver output signals with phase difference of 0 and  $\pi$  when the currents of the two beams are different or the fabrication errors of the two EIO cavities are taken into account. The proposed scheme is promising in extending to phase locking between multiple EIOs, and generating higher power at millimeter-wave and higher frequencies.

Key words: electron physics, phase-locked extended interaction oscillator (EIO), PIC simulations, distributed beam, high order mode

## 应用于亚毫米波锁相振荡器的共谐振扩展互作用电路设计

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**摘要:**本论文提出了一种基于高阶 TM<sub>n1</sub>模的共谐振扩展互作用电路系统方案,用于锁定两个扩展互作用振荡器(EIO)的相位,以在G波段产生高功率。两个独立的EIO通过一个特定设计长度L<sub>g</sub>的间隙波导内建立的单间隙耦合场耦合,形成基于共谐振系统的锁相EIO。作为一个整体系统,重点研究了该系统在不同单间隙耦合场下的模式分析、模式跳变,从而揭示了改变L<sub>g</sub>时两个EIO注波互作用之间相位差的变化。为了证明所提出的电路系统锁定EIO相位的有效性,我们开展粒子模拟(PIC)研究,表明当两个输出端口的输出信号之间的相位差为0和π时,会发生对应于具有不同n的TM<sub>n1</sub>模式的跳变。模拟结果表明:1)该锁相振荡器能够在220 GHz下产生两倍于单个振荡器的输出功率;2)当双电子注的注电流有差异或考虑两个EIO腔体加工容差时,两个EIO仍然能够产生具有0和π相位差的输出信号。该方案有望扩展到多个EIO之间的锁相,并在毫米波和更高频率下产生更高的功率。

关键 词:电子物理学;锁相扩展互作用振荡器(EIO);粒子模拟;分布式电子注;高阶模
中图分类号:TN122
文献标识码:A

Received date: 2024-10-23, revised date: 2024-12-24 收稿日期

收稿日期:2024-10-23,修回日期:2024-12-24

Foundation items: Supported in part by the National Natural Science Foundation of China (62401125), the Natural Science Foundation of Sichuan Province (2023NSFSC1376), and the Fundamental Research Funds for the Central Universities (ZYGX2024J008)

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#### Introduction

EIOs are among the most powerful and compact high-frequency microwave sources in the field of vacuum electronic devices <sup>[1]</sup>. Nowadays, submillimeter wave frequencies (100 GHz to 300 GHz) become one of the most important spectrum resources to be developed [2]. It is therefore of great interest in developing submillimeterwave EIOs to meet the needs of high-power radiation in these frequency bands for some specific applications<sup>[3, 4]</sup>. However, the typical operation mechanism of conventional EIOs, each of which is driven by a single beam, requires a very small EIO circuit size due to the limitation of the operating wavelength at submillimeter-wave frequencies <sup>[5]</sup>. This limits the power level of such singlebeam EIOs because it is difficult to obtain and transport large beam currents in small circuits at a specific beam voltage. For classical EIOs, extended interaction klystrons (EIKs), and traveling wave tubes in vacuum electronics and high-power microwaves, two-beam  $^{\rm [6-12]}$  , or multi-beam technology  $^{\rm [13-19]}$  associated with high order mode operation provides an effective solution for increasing beam power and accordingly increasing the output power of millimeter-wave and submillimeter-wave tubes. One typical case is to operate an EIO or an EIK with the TM<sub>21</sub> mode in the coaxial extended interaction circuit driven by multiple beams, which has been applied in Kaband <sup>[16, 17]</sup>, W-band <sup>[18]</sup>, and Y-band <sup>[19]</sup>. Another case is that a type of two-beam EIO has been proposed to increase its power to kW-level at 220 GHz<sup>[20, 21]</sup>. The EIO is operated in the beam-wave interaction between two beams and a high order TM<sub>13</sub> mode.

For a conventional submillimeter-wave EIO, which is driven by a single beam and has low output power, it is of great interest to integrate two or multiple such EIOs, and then connect them together to increase the total power level from the perspective of physics mechanism and engineering. One of the most important technologies is to explore efficient operation mechanism to make multiple devices operate at the same frequency and with a certain phase difference.

In this paper, we propose a scheme of commonlyresonated extended interaction circuits for supporting the phase locking between two G-band EIOs, which forms a high power submillimeter-wave EIO driven by two beams on the whole. The commonly-resonated extended interaction circuits are formed by a narrow gap waveguide to connect the coupling cavities of two circuits. The high order TM<sub>a1</sub> mode with single-gap coupling field distributed in the gap waveguide is established to support the operation of the commonly-resonated circuit system for locking the phases of two EIOs. The resonant condition of the commonly-resonated circuit system is analyzed to show the capability of duplicating the electromagnetic characteristics of conventional single EIO circuit. Accordingly, the operation mechanism shows almost the same behavior between the two-beam circuit and one single-beam circuit of the two-beam circuit. The output power of the twobeam EIO is two times that of the conventional singlebeam EIO.

It should be noted that the proposed scheme maintains excellent interaction capability between the extended interaction field and the electron beam. On this basis, such interaction capability is duplicated to form twomirror extended interaction circuits with mutual coupling features. This scheme provides a promising solution for locking the phases of two single oscillators toward high power sub-millimeter wave radiation.

## 1 Design and mode analysis of the commonly-resonated circuits for phase locking

The basic idea of the design derives from duplicating one typical single-beam EIO to two such identical EIOs through effective communication. The communication should make the two-EIO system maintain the same electromagnetic characteristics including resonant frequency, field distribution, Q factors, and interaction capability of the typical single one. For a conventional EIO, the output circuit is typically connected to one of the symmetrical coupling cavities, which consists of a coupling hole and a standard rectangular waveguide. If duplicating one identical coupling hole and connecting it to another coupling cavity, the single EIO would have two coupling ports, including 1) the typical output circuit, and 2) the coupling hole behaving for coupling signal from the EIO circuit. When another identical EIO is connected to this duplicated coupling hole, the configuration will evolve into two EIO circuits connected by one such coupling hole, as shown in Fig. 1. The so-called "coupling hole" is named as gap waveguide here, because its length can be extended to behave like a waveguide for satisfying effective communications between two EIO circuits. Then two connected extended interaction circuits are formed and two output waveguide ports are symmetrically distributed on both sides of the circuit.

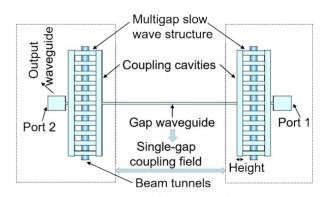


Fig. 1Schematic drawings of the models of the two-beam oscil-<br/>lator with the commonly-resonated circuit system图 1基于共谐振电路系统的双电子注振荡器模型示意图

Every extended interaction circuit consists of a multigap slow wave structure (SWS) and two symmetrical coupling cavities, as shown in Fig. 1. The electron beam passes through the beam tunnel which is located across the center of the multigap SWS, and the interaclocked oscillators

tion between the beam and the circuit takes place in the SWS. The period length P of the SWS can be calculated from the synchronous condition by the following equation, P/v = N/f, where v is the DC velocity of the electron beam, f is the operation frequency of the circuit, N is 1 for  $2\pi$  mode operation, respectively. Here, P is selected as 0. 37 mm according to the designed voltage of ~22 kV. Through dozens of Eigenmode simulations, the gap length is determined as 0.16 mm when considering the balance between the effective characteristic impedance and the capability of the heat dissipation in the SWS. The axial length of every coupling cavity is equal to almost integral multiple (the gap number is 11 here) of a half standing wavelength. The output waveguide is WR4 standard waveguide. The two EIO circuits are designed with same geometrical parameters and connected through the gap waveguide.

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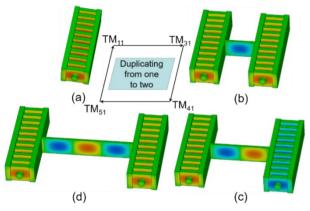


Fig. 2 The three-dimensional pattern of the  $E_z$  field of the typical modes, including (a) the conventional  $TM_{11}$  mode in the conventional single extended interaction circuit; (b) the  $TM_{31}$  mode; (c) the  $TM_{41}$  mode; (d) the  $TM_{51}$  mode of the commonly-resonated system with different  $L_z$ s

图2 典型模式纵向电场( $E_x$ 场)的三维分布图,模式包括(a)传统单个扩展互作用电路中TM<sub>11</sub>模;具有不同 $L_g$ 共谐振电路系统的(b)TM<sub>31</sub>模;(c)TM<sub>41</sub>模;(d)TM<sub>51</sub>模

It is important to understand that the two connected circuits form a whole resonant system (shown in Fig. 1) instead of three separate parts: two identical circuits and a gap waveguide. The operation mode of the whole system is characterized by the two identical extended interaction fields associated with the field component distributed in the gap waveguide, which behaves as three field components distributing in the cross section of the whole system. The operation mode is actually a high order  $TM_{n1}$  mode with *n* consisting of two extended interaction fields and *n*-2 of single-gap coupling fields in the gap waveguide. The 1 in the subscript of the  $TM_{n1}$  mode means that the  $E_x$  field of the mode of the whole system experiences one maximum value along the *x* direction.

It is notable that the commonly-resonated state is established, when one of the two circuits is operated with the same resonant frequency, field distribution, Q factors and beam-loading characteristics, as those of the single circuit which serves for a conventional single-beam EIO. According to the basic idea mentioned above, it is necessary to resonate the TM<sub>n1</sub> mode with two respective extended interaction fields maintaining the same distribution pattern as compared with the  $E_z$  field in one of the single-beam circuit, as shown in Fig. 2(a). Figure 2(b-d) shows the  $TM_{31}$ ,  $TM_{41}$ , and  $TM_{51}$  modes respectively. These modes are characterized by two extended interaction fields with the specific single-gap coupling field. For the TM<sub>31</sub> mode, the coupling field is distributed with one of half standing-wave wavelength  $(\lambda_{d}/2)$  in the gap waveguide. When  $L_{a}$  is increased to be  $\lambda_{d}$  and  $3 \lambda_{d}/2$ , the system is resonated in the  $TM_{41}$  and  $TM_{51}$  modes. The most important characteristics of these three modes are that the extended interaction fields distributed in the both sides of extended interaction circuits maintain the same field pattern as that of the typical single circuit, as shown in Fig. 2. The resonant condition of these three modes lies in the fact that the gap waveguide is resonated with the matched coupling field, because the specific  $L_{\mu}$ makes the boundaries of the gap waveguide satisfy the establishment of integer multiples of  $\lambda_d/2$  even when the gap waveguide is separated with the circuits. When these boundaries are replaced with the connection between the coupling cavities and the gap waveguide, the gap waveguide would not affect the original  $E_{z}$  field distribution of the extended interaction circuits.

However,  $L_{\rm g}$ s corresponding to the three  ${\rm TM}_{\rm a1}$  modes are specific cases for duplicating one EIO circuit to two circuits. For the general case of  $L_{\rm g}$ , the  $E_{\rm z}$  field across the cross section of the gap waveguide largely affects the axial distribution of the  $E_{\rm z}$  field, and hence other electromagnetic characteristics. It is consequently important to analyze the effect of the length of the gap waveguide on the  $E_{\rm z}$  field distribution across the cross section and frequency of the whole system. For the whole system, there exist two types of the resonated  ${\rm TM}_{\rm a1}$  modes including the mode with *n* of odd number and the mode with *n* of even number. The mode with *n* of odd number is characterized by making the extended interaction fields in both sides of the circuits have a phase of 0. Differently, the mode with *n* of even number makes them have a phase of  $\pi$ .

Figure 3 shows the effect of  $L_{g}$  on the frequency of the  $TM_{n1}$  mode with *n* of odd number and even. Here, we regard the frequency of the single extended interaction circuit as the reference point corresponding to  $L_{s}/\lambda$  of 0. When  $L_s$  is increased, the frequency of the mode with odd number decreases firstly to the value corresponding to the point B' (the first decrease), then increases sharply and thereafter decreases (the second decrease). To identify the frequency variation clearly, we set the first decrease as stage 1, and the second decrease as stage 2. In fact, stage 1 corresponds to the same mode of  $TM_{31}$ . Stage 2 corresponds to the same mode of  $TM_{51}$ . When the TM<sub>31</sub> mode is shifted to the TM<sub>51</sub> mode, the frequency experiences a sharp increase. When the resonant system begins to resonate into an identical mode, its frequency is not increased as  $L_{e}$  increases until the mode hopping happens.

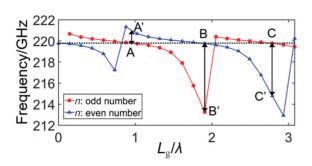


Fig. 3 The effect of  $L_g/\lambda$  on the frequency of the resonant system associated with the resonant mode of  $TM_{n1}$  with *n* of odd number and even number

图3 L<sub>a</sub>/λ对n为奇数和偶数的TM<sub>a</sub>1模共谐振系统频率的影响

Similarly, the frequency of the mode with *n* of even number experiences a decrease variation firstly, a sharp increase secondly and then a decrease thirdly. The first decrease corresponds to the  $\rm TM_{21}$  mode. The sharp increase corresponds to the mode hopping from the  $\rm TM_{21}$  to the  $\rm TM_{41}$  mode. The third decrease is because the increase of  $L_{\rm g}$  makes the field distribution of the  $\rm TM_{41}$  mode distributed in a larger circuit structure.

It should be noted that the frequency of  $TM_{n1}$  with n of odd number overlaps that of  $TM_{n1}$  with n of even number. When  $L_{s}$  is increased in the certain range, the frequency of the  $TM_{31}$  mode is decreased from A to B'. The frequency decrease makes the frequency of the TM<sub>21</sub> mode have a larger deviation from the design frequency of ~220 GHz. In this variation range of  $L_{\mu}$ , the frequency of the TM<sub>41</sub> mode decreases to the design value, which could replace the  $TM_{31}$  mode for supporting the system. It is therefore of great interest that the overlapping frequency between the TM<sub>31</sub> and the TM<sub>41</sub> mode can guide the mode selection for the effective communications of the two extended interaction circuits. Figure 3 shows the system can select TM<sub>31</sub> at point A, TM<sub>41</sub> at point B, and TM<sub>51</sub> at point C, as well as other higher order TM<sub>51</sub> modes with the specific points. These modes corresponding to the specific points are resonated in different circuit structures, however, they have the same  $E_{a}$  field distribution along the axis, as shown in Fig. 4. So their frequencies,  $Q_0$  factors are the same, as well as the effective interaction impedance  $M^2 R/Q$ , in which M represents the coupling coefficient, and R/Q is the characteristic impedance of the interaction circuit.

To select the mode for easy excitation and stable operation, we focus on the possibility of the mode competition between the mode with n of odd number and the mode with n of even number in an identical resonant system. It should be noted that there exist A and A' for TM<sub>31</sub> and TM<sub>41</sub>, B and B' for TM<sub>41</sub> and TM<sub>31</sub>, C and C' for TM<sub>51</sub> and TM<sub>41</sub> in their respective identical systems. A typical principle for mode selection is to increase the frequency separation between the operation mode and other modes. It is obvious that the frequency separations be-

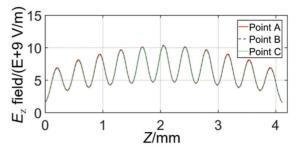


Fig. 4 The  $E_z$  field distribution along the axes of the modes corresponding to A, B, C shown in Fig. 3 图 4 图 3 中点 A、B、C 对应模式沿轴线的  $E_z$ 场分布

tween B and B', C and C' are much larger than those between the A and A'.

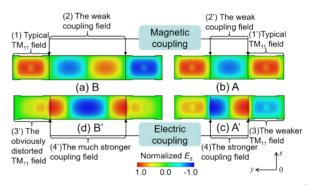


Fig. 5 The  $E_z$  field distribution across the cross section of (a) TM<sub>41</sub> mode and (d) TM<sub>31</sub> mode in the identical system corresponding to B and B', respectively; (b) and (c) show the TM<sub>31</sub> mode and TM<sub>41</sub> mode in the identical system corresponding to A and A', A and A', B and B' are shown in Fig. 3

图 5 点 B 和 B'对应同一个共谐振系统中(a) TM<sub>41</sub>模和(d) TM<sub>31</sub>模沿横截面的 $E_{2}$ 场分布;(b)和(c)分别表示点A和A'对 应同一个共谐振系统中TM<sub>31</sub>和TM<sub>41</sub>模,点A和A'、B和B'均 为图3中标记

To clarify the difference between the frequency separations between A and A', B and B', we analyse the difference in  $E_{x}$  field distribution across the cross sections. Figures 5(b) and 5(c) show the  $E_{z}$  field distribution of the  $TM_{31}$  mode with point A, and the  $TM_{41}$  mode with point A', respectively. It is notable that the interface between the coupling cavities and the gap waveguide is characterized by two coupling types: magnetic coupling and electric coupling. For the TM<sub>31</sub> mode with point A, it is typical of magnetic coupling because the  $E_z$  field amplitude is 0 at these interfaces. However, it is electric coupling for the  $TM_{41}$  mode with point A' because the  $E_{2}$ field amplitude is not 0. This compresses the space of the extended interaction circuits on one side of the system, where the  $E_{i}$  field is distributed. The frequency is therefore increased to be larger than that of the TM<sub>31</sub> mode with point A.

For every extended interaction circuit, the typical

locked oscillators

TM<sub>11</sub> field is established in the circuit, which supports the desired resonant frequency. Then an effective connection between two such extended interaction circuits is to maintain the typical TM<sub>11</sub> field distribution in the circuit after connecting them by designing a proper gap waveguide. To explain the effect of the  $E_{x}$  field distribution on the frequency separations between A, A', and B, B', we regard the field in part (1) and (1') as the typical TM<sub>11</sub> field distributed across the cross section, as shown in Figs. 5(a) and 5(b), respectively. The frequencies of point A and point B are the same because the distribution pattern of the typical TM<sub>11</sub> field is the same in part (1) and (1'), which reproduces the typical field in the individual extended interaction circuit. This lies in the fact that the coupling field across the gap waveguide is weaker than the typical TM<sub>11</sub> field, and more importantly, the coupling field is resonated with the magnetic coupling at the interface between the circuit and the gap waveguide, as shown in the weak field (2) and (2'), respectively.

The typical  $TM_{11}$  field in (1') is significantly weakened and becomes the weaker field in (3) when the field distribution of point A is compared with that of point A', as shown in Fig. 5(c). The weak coupling field in (2') turns into the stronger coupling field in (4). It should be noted that the weak field in (3) still maintains the basic distribution pattern of the typical  $TM_{11}$  field, although the field strength of the former is smaller than that of the latter. The frequency separation between A and A' is consequently not large.

Unlike the  $TM_{41}$  mode with point B, and the  $TM_{31}$ mode with point B', as shown in Figs. 5(a) and 5(d) respectively, the typical  $TM_{11}$  field in (1) is transferred into the obviously distorted  $TM_{11}$  field in (3'). The 'distorted field' is defined here because the field in (3') does not maintain the basic distribution pattern of the typical  $TM_{11}$  field, as well as the weak field in (3). The distortion of the basic distribution pattern results in a larger frequency separation between B and B', in contrast to the frequency separation between A and A'. In addition, the weak field in (2) is transferred into the much stronger coupling field in (4'). The strong field is mostly distributed in part (1) for point B, however, in part (4') for point B'. The cross section of part (1) is smaller than that of part (4'). This means the strong field is mostly distributed along a larger cross section for point B'. The frequency of point B' is consequently smaller than that of point B.

In fact, the comparison between the modes with point A, A', and B, B' follows the following principles, a) the distribution pattern and the distributed space of the stronger field of the  $TM_{n1}$  mode mostly determine the frequency of the connected circuit, and b) the degree of the distortion of the typical  $TM_{11}$  field (in the individual extended interaction circuit) determines the frequency interval between the  $TM_{n1}$  modes. Based on a), the strongest field of the  $TM_{31}$  mode with the point B' is distributed across the gap waveguide, which makes the typical  $TM_{11}$  field (in part (1)) in the individual circuit on both

sides of this gap waveguide distorted severely. However, the typical  $\text{TM}_{11}$  field pattern is basically maintained in the individual circuit for the mode with point A', as compared with the field pattern in part (1) and (1'). It is accordingly deduced from the comparison between the  $E_z$  field distributions of B, B' and A, A' that the frequency interval between B and B' is larger than that between A and A'.

From the perspective of the coupling condition between the coupling cavities and the gap waveguide, the magnetic coupling is distributed at the interfaces between the coupling cavities and the gap waveguide for point B. For point B', however, strong electric couplings occur at these interfaces, which makes the  $E_z$  field distribution in the extended interaction circuits become more distorted. It is consequently reasonable that the frequency separation between B and B' is much larger than that between A and A'.

## 2 PIC simulations

To show the effectiveness of the two-beam oscillator in improving the output power to two times the power of the single-beam oscillator, we firstly conducted the PIC simulations <sup>[22]</sup> of the single-beam oscillator driven by the electron beam with the current of 0. 6 A and the voltage of 23 kV. The constant magnetic field of 1 T is used to confine the beam transportation in the beam tunnel. Figure 6(a) shows that the conventional single-beam oscillator can deliver ~1. 65 kW at ~220 GHz. The corresponding phase space of the electron beam is shown in Fig. 6(b), which indicates that an effective beam-wave interaction takes place in the single-beam oscillator.

To examine the operation capability of the proposed resonant system, we designed two symmetrical output circuits consisting of two identical coupling holes and standard rectangular waveguides, to form the two-beam oscillator. It is notable that the output circuit on one side of the resonant system is the same as the output circuit of the single-beam oscillator. The PIC simulations are used to calculate the interaction between two beams and the circuit system. Two beams with the voltage of 23 kV and each current of 0. 6 A are injected into the two beam tunnels for driving the oscillator. The constant magnetic field of 1 T is also used to confine the two electron beams.

The important task is to calculate the effect of  $L_{\rm g}$  on the output performance of the oscillator when the two beams are loading into the oscillator. Figure 7 shows the corresponding PIC simulation results as  $L_{\rm g}$  is increased. It is of great interest that the output power of the oscillator is increased firstly (the first increase), decreased secondly and then increased (the second increase), decreased thirdly and then increased (the third increase). The frequencies of the variation stages with the first, second, and third increases are all decreased. However, there is a frequency jump between the first and second increases, as well as the second and third increases. The frequency jump shows the mode hopping between each stage of the increase. The first, second, third increases

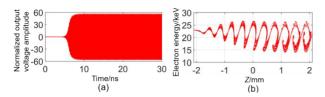


Fig. 6 When the conventional single EIO based on a single extended interaction circuit in the commonly-resonated circuits is driven by an electron beam with the current of 0.6 A at 23 kV, this figure shows (a) the output signal from the single port; (b) the phase space of the electrons

图6 当电压23 kV、电流0.6A的电子注驱动基于共谐振系统 中单个扩展互作用电路的传统单个EIO时,该图表示(a)单个 端口的输出信号;(b)电子的相空间图

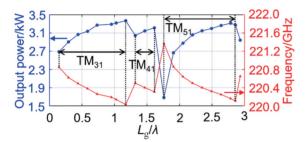


Fig. 7 The effect of  $L_g/\lambda$  on the output power and frequency of the proposed phase-locked oscillator

图7 L<sub>α</sub>λ对所提出的锁相振荡器输出功率和频率的影响

correspond to the operations of the  $TM_{31}$ ,  $TM_{41}$ , and  $TM_{51}$ modes through analysing the electron trajectories and the  $E_z$  field distributions of each stage. It is shown as a result of the resonant frequencies of the system (Fig. 3) that the  $TM_{31}$ ,  $TM_{41}$ , and  $TM_{51}$  modes can be efficiently excited when the frequency of the system is close to the resonant frequency of these modes respectively. This is because the synchronous conditions of these modes are satisfied, respectively.

To clarify the operation modes of the three increases, we present the output signals, phase spaces, electron trajectories corresponding to three typical  $L_s$  in the three respective stages. Figure 8 shows that the output signals of the two waveguide ports have the same phase, which can be verified from the phase spaces and electron trajectories. This demonstrates the two-beam oscillator with  $L_{a1}$  is operated with the TM<sub>31</sub>-2 $\pi$  mode. Figure 8(a) shows the output power from each port is ~1.65 kW, which is almost the same as the output power of one single EIO, as shown in Fig. 6(a). The total power of the two-beam oscillator is ~3.3 kW. The frequencies of two output waves from two ports are consistent of 220. 2 GHz. This lies in the fact that the two extended circuits are formed to be a whole resonant system although it has two output ports.

Figure 9 shows the simulation results of the oscillator with  $L_{g^2}$  when it is operated with the  $TM_{41}-2\pi$  mode. Figure 9(a) shows the output powers from two ports are the same and the total power is ~3.2 kW. The phase dif-

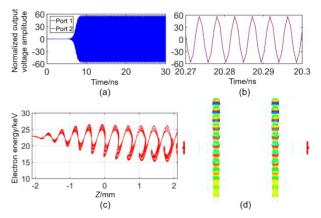


Fig. 8 When the oscillator with  $L_{\rm gl}$  is driven by two beams with the same current of 0. 6 A at 23 kV, this figure shows (a) the output signals from two ports; (b) the detailed information of the signal from 20. 27 ns to 20. 3 ns showing the phase difference; (c) the phase space of the two beams of electrons; (d) the electron trajectories

图 8 当耦合桥长为 L<sub>g</sub>的锁相振荡器被电压 23 kV 下具有相同 注电流 0.6 A 的双电子注驱动时,该图表示(a)两个端口的输出 信号;(b)用于显示相位差的 20.27 ns到 20.3 ns之间信号的详 细信息;(c)双注电子的相空间图;(d)双注电子的轨迹

ference between two output signals from two ports is  $\pi$ , as shown in Fig. 9(b). The frequency is 220.3 GHz. The electron trajectories show the two beams of electrons move with the phase of  $\pi$ , as shown in Fig. 9(d).

When the ohmic loss is considered at G-band, the PIC simulations are conducted with the electrical conductivity of 2. 2e7 S/m <sup>[23-26]</sup>. The simulation results show that the output signals from two ports still have a certain phase difference when  $L_{\rm g}$  is different. The total output power of ~1 kW can be obtained with the same frequency of ~220 GHz.

Figure 10 shows the PIC simulation results of the oscillator with  $L_{g3}$  when it is operated with the  $TM_{51}$ - $2\pi$  mode. Figure 9 (a) shows the output power from two ports is the same and the total power is ~3.2 kW. The phase difference between two output signals from two ports is 0, as shown in Fig. 10 (b). The frequency is ~220.3 GHz. The electron trajectories show the two beams of electrons move with the phase of 0, as shown in Fig. 10(d).

The two beams are expected to be generated by two separate cathodes. In the PIC simulations, the radius of the electron beam is 0.12 mm, and the radius of the beam tunnel is 0.15 mm. Figure 11 shows the electron beam with relatively small current, for example, 0.2 A, 0.3 A would be appropriate to use mechanism of thermionic cathodes to generate. For higher power specification, larger current is required for driving the oscillator. However, it is difficult to generate the electron beam with large current, for example, 0.6 A and above, using thermionic cathodes. It is reasonable to use the pseudospark discharging system <sup>[27, 28]</sup> to generate the electron 4期

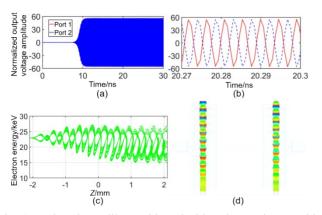


Fig. 9 When the oscillator with  $L_{g2}$  is driven by two beams with the same current of 0. 6 A at 23 kV, this figure shows (a) the output signals from two ports; (b) the detailed information of the signal from 20. 27 ns to 20. 3 ns showing the phase difference; (c) the phase space of the two beams of electrons; (d) the electron trajectories

图9 当耦合桥长为L<sub>g2</sub>的锁相振荡器被电压23 kV下具有相同 注电流0.6A的双电子注驱动时,该图表示(a)两个端口的输出 信号;(b)用于显示相位差的20.27 ns到20.3 ns之间信号的详 细信息;(c)双注电子的相空间图;(d)双注电子的轨迹

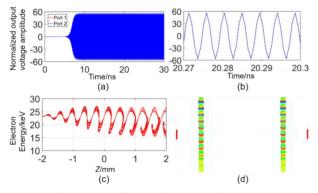


Fig. 10 When the oscillator with  $L_{g3}$  is driven by two beams with the same current of 0.6 A at 23 kV, this figure shows (a) the output signals from two ports; (b) the detailed information of the signal from 20.27 ns to 20.3 ns showing the phase difference; (c) the phase space of the two beams of electrons; (d) the electron trajectories

图 10 当耦合桥长为 L<sub>g3</sub>的锁相振荡器被电压 23 kV 下具有相 同注电流 0.6 A 的双电子注驱动时,该图表示(a)两个端口的输 出信号;(b)用于显示相位差的 20.27 ns到 20.3 ns之间信号的 详细信息;(c)双注电子的相空间图;(d)双注电子的轨迹

beam with large current density (1  $326 \text{ A/cm}^2$  corresponding to the current of 0. 6 A).

For the two beams, it is reasonable to predict that the two beam currents may be different at a specific voltage in practice. To examine the oscillator performance with two different beam parameters, we used PIC simulations to calculate the effect of two different beam currents on the performance at the beam voltage of 23 kV.

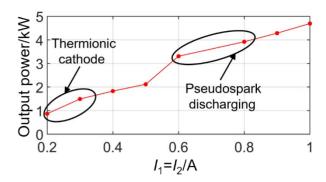


Fig. 11 When the oscillator with  $L_{g^2}$  is driven by two beams with the same current of  $I_1=I_2$  at 23 kV, this figure shows the output power of the two-beam oscillator as increasing  $I_1$  ( $I_2$ ) from 0. 2 A to 1 A

图 11 当耦合桥长为 L<sub>g2</sub>的锁相振荡器被电压 23 kV 下具有相同注电流 I<sub>1</sub>=I<sub>2</sub>的双电子注驱动时,该图表示当 I<sub>1</sub>(I<sub>2</sub>)从 0.2 A 增大到 1 A 时该振荡器的输出功率变化规律

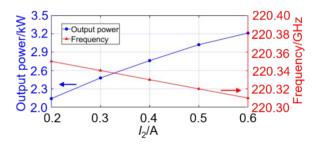


Fig. 12 The output power and frequency of the oscillator driven by the two beams with one current  $I_1$  of 0. 6 A and another current  $I_2$  increasing from 0. 2 A to 0. 6 A

图 12 当双注中一个电子注电流 I<sub>1</sub>为 0.6 A 且另一个电流 I<sub>2</sub>从 0.2 A 增大到 0.6 A 时,该振荡器的输出功率和频率

Figure 12 shows the output power of the oscillator driven by one beam with the current of  $I_2$  and another beam with the current of  $I_1$ . Here  $I_1$  is assumed to be constant of 0. 6 A, and  $I_2$  is assumed to be increased from 0. 2 A to 0. 6 A. Correspondingly, the output power is increased from 2. 1 kW to 3. 2 kW. The frequency is almost not changed because the oscillator is operated with the same mode TM<sub>41</sub>. When the two beam currents are 0. 6 A and 0. 4 A, the output power generated from two output ports has a slight difference, as shown in Fig. 13(a). Accordingly, the phase difference of the signals from two ports is  $\pi$ , as shown in Fig. 13(b).

Figure 14 shows the variations of the output power and frequency versus different waveguide lengths for one beam current of 0.6 A and another current of 0.4 A. When the length of the coupling waveguide  $(L_g)$  is increased, the frequency is firstly decreased, then increased sharply and starts to decrease until next increasing. For every stage of the frequency decreasing, the output power is increased and the phase-locked oscillator is operated in the same mode. Every sharp increase in the frequency corresponds to the mode hopping in the oscillator and at this operating point, the output power is de-

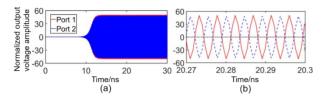


Fig. 13 When the oscillator with  $L_{g^2}$  is driven by two beams with one current of 0. 6 A and another current of 0. 4 A at 23 kV, this figure shows (a) the output signals from two ports; (b) the detailed information of the signal from 20. 27 ns to 20. 3 ns showing the phase difference

图 13 当耦合桥长为L<sub>e</sub>的振荡器被电压 23 kV下一个电流为 0.6A且另一个电流为0.4A的双电子注驱动时,该图表示(a) 两个端口的输出信号;(b)用于显示相位差的 20.27 ns 到 20.3 ns 之间信号的详细信息

creased sharply. The operating mode is shifted from the  $TM_{31}$ ,  $TM_{41}$ , to  $TM_{51}$  mode as  $L_g$  is increased within the full range, as shown in Fig. 14.

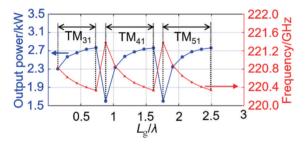


Fig. 14 The effect of  $L_g/\lambda$  on the output power and frequency of the proposed phase-locked oscillator with one beam current of 0. 6 A and another beam current of 0. 4 A

图 14 当所提出的锁相振荡器被一个电流为 0.6 A 且另一个 电流为 0.4 A 的双电子注驱动时, L<sub>g</sub>/λ 对振荡器输出功率和频 率的影响

For the design scheme of the phase-locked oscillator, the coupling waveguide is located between the two coupling cavities of the two EIO cavities. The matching between the coupling waveguide and two coupling cavities is therefore important to lock the phases of the two EIOs. Then we focus on the effect of the height errors of the coupling cavities of one EIO cavity on the phase-locking performance. The height of the coupling cavities is shown in Fig. 1 and the height error is defined as the variation relative to the original height of the coupling cavities of one EIO cavity. The dimensions of another EIO are unchanged. The EIO cavity can be fabricated by the micro-CNC, which can provide a fabricating tolerance of  $\pm 2 \ \mu m^{[29]}$ . Figure 15 shows that when the height error is increased within  $\pm 8 \ \mu m$ , the total output power from two ports is firstly increased corresponding to the error within -8 µm and 0, and secondly decreased corresponding to the error within 0 and 8  $\mu$ m. The maximum and minimum power is 3.1 kW and 2.8 kW, respectively. The frequencies of the output signals from two ports are uniform. It is within 220. 4 GHz and 220. 56 GHz, which is almost unchanged. This indicates that the height error could satisfy the fabrication accuracy of the CNC.

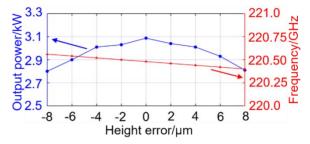


Fig. 15 The effect of the height error of the coupling cavities of one EIO cavity (with the coupling cavities of another EIO cavity unchanged) on the power and frequency of the phase-locked oscillator (the two beam currents are assumed to be the same of 0.6 A) 图 15 当锁相振荡器被双注电流均为 0.6 A 的双电子注驱动时,其中一个 EIO 腔体的耦合腔高度容差(另一个 EIO 腔体耦合腔尺寸不变)对振荡器输出功率和频率的影响

To present the effect of the height error on the phases of the output signals from two ports, we show the PIC simulation results of the phase-locked oscillator with the height error of 2  $\mu$ m, as shown in Fig. 16. Figure 16 (a) shows that the amplitudes of the output signals from two ports have a difference due to the introduction of the height error, which brings the frequency difference between two EIO cavities with free-running state. The designed proper coupling waveguide makes the two EIO cavities being locked to produce a single frequency, which means that the commonly-resonated EIO is operated in a single mode  $(TM_{31} \text{ mode})$ . Figure 16(b) shows that the phase difference between the two output signals is almost 0. The oscillator with the height error within the full range shown in Fig. 15 can still be operated in the same single mode and produce in-phase signals.

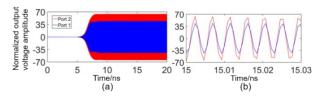


Fig. 16 When the height error is  $2 \mu m$ , the oscillator (with two beam currents of 0. 6 A) can produce (a) the output signals from two ports; (b) the detailed information of the signal from 15 ns to 15. 03 ns showing the phase difference

图 16 当高度容差为2 µm时,被两个注电流均为0.6 A 双电子 注驱动的锁相振荡器能够产生的(a)两个端口输出信号;(b)用 于显示相位差的15 ns到15.03 ns之间信号的详细信息 locked oscillators

### 3 Conclusion

In this paper, a novel scheme and its specific operation mechanism for a G-band two-beam EIO with two output ports have been proposed with locking the phases of two extended interaction circuits through a specifically designed gap waveguide, which is conceived to generate high power submillimetre-wave radiation. The commonlyresonated circuit system is therefore formed and the high order  $TM_{n1}$  modes with specific single-gap coupling fields are established to support the commonly-resonated condition of the system and phase locking between two EIOs. The mode analysis with different *n* and mode hopping has been analysed to support the mode selection for the twobeam oscillator.

Accordingly, the mode hopping occurs between specific  $TM_{n}$  modes with different *n* when the oscillator designed with the gap waveguide has different lengths, driven by two electron beams with same currents or different currents. PIC simulation results show that the proposed oscillator can be shifted by exciting the TM<sub>21</sub> mode with different *n* to deliver two signals with phase difference of 0 and  $\pi$  from two output ports. Consequently, the oscillator can reproduce the interaction capability of one of the conventional single-beam oscillators and produce higher power, which is two times the power of the single oscillator. When the two beams have different currents, the oscillator can still show efficient interaction with phase difference of 0 or  $\pi$  for the two beams. The efficient phase locking can still be obtained when the coupling cavities of the two EIOs have fabrication error within  $\pm 8 \ \mu m$ , which satisfies the fabrication accuracy of CNC technology. It is of great interest that the proposed scheme can be operated in the  $TM_{n1}$  mode with a large n to support the phase locking between two EIOs. This is promising in applications of powerful, compact and efficient sub-millimetre wave and higher frequency vacuum electronic devices.

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