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# High-efficiency AlN/GaN MIS-HEMTs with SiN<sub>x</sub> insulator grown in-situ for millimeter wave applications

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**Abstract:** In this work, high-efficiency AlN/GaN metal-insulator-semiconductor high electron mobility transistors (MIS-HEMTs) have been fabricated for millimeter wave applications. A 5-nm SiN<sub>x</sub> insulator is grown in-situ as the gate insulator by metal-organic chemical vapor deposition (MOCVD), contributing to remarkably suppressed gate leakage, interface state density and current collapse. The fabricated MIS-HEMTs exhibit a maximum drain current of 2. 2 A/mm at  $V_{\rm cs}$ =2 V, an extrinsic peak G<sub>m</sub> of 509 mS/mm, and a reverse Schottky gate leakage current of 4. 7×10<sup>-6</sup> A/mm when  $V_{\rm cs}$  = -30 V. Based on a 0. 15 µm T-shaped gate technology, an f<sub>T</sub> of 98 GHz and f<sub>MAX</sub> of 165 GHz were obtained on the SiN/AlN/GaN MIS-HEMTs. Large signal measurement shows that, in a continuous-wave mode, the MIS-HEMTs deliver an output power density (P<sub>out</sub>) of 2. 3 W/mm associated with a power-added efficiency (PAE) of 45. 2% at 40 GHz, and a P<sub>out</sub> (PAE) of 5. 2 W/mm (42. 2%) when  $V_{\rm DS}$  was further increased to 15 V.

Key words: AlN/GaN, metal-insulator-semiconductor High Electron Mobility Transistors (MIS-HEMTs), millimeter wave, low dispersion, low drain voltage

# 带有原位生长SiN、绝缘层的AIN/GaN毫米波高效率MIS-HEMT器件

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**摘要:**本文采用金属有机化学气相沉积(MOCVD)生长原位SiN<sub>x</sub> 栅介质制备了用于Ka波段高功率毫米波应用的AlN/GaN金属绝缘体半导体高电子迁移率晶体管(MIS-HEMTs)。原位生长SiN<sub>x</sub> 栅介质显著抑制了栅反向漏电、栅介质/AlN 界面态密度和电流坍塌。所研制的MIS HEMTs 在 $V_{cs}$ =2V 时最大饱和输出电流为2.2 A/mm,峰值跨导为509 mS/mm,在 $V_{cs}$ =-30V 时肖特基栅漏电流为4.7×10<sup>6</sup> A/mm。采用0.15  $\mu$ mT 形栅技术,获得98 GHz 的 f<sub>r</sub>和 165 GHz 的 f<sub>MAX</sub>。大信号测量表明,在连续波模式下,漏极电压 $V_{Ds}$ =8V 时,MIS HEMT 在40 GHz 下输出功率密度2.3 W/mm,45.2%的功率附加效率(PAE),而当 $V_{Ds}$ 增加到15V 时,功率密度提升到5.2 W/mm,PAE为42.2%。

关键 词:AIN/GaN;金属绝缘体半导体高电子迁移率晶体管;Ka波段;低损耗;低偏压

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# Introduction

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In recent years, high electron mobility transistors (HEMTs) based on GaN have attracted more attention, due to their high thermal conductivity, high breakdown voltage, and high-power density for millimeter-wave (mm-wave) power amplifiers. In an AlGaN/GaN HEMTs structure, the working voltage may reach 28 V or even higher<sup>[1][2]</sup>, such high voltage will enhance the longitudinal electric field to increase the gate leakage<sup>[3]</sup> Additionally, the internal electric field intensity will reach  $10^6 \sim 10^7$  V/cm when the 20~30 V is applied to drain bias, leading to current collapse, reduction of breakdown voltage, and increase in leakage<sup>[4]</sup>. In order to achieve high-performance GaN HEMT at low operating voltage, the energy-band theory is used to design new epitaxial structures to increase the electron gas density meanwhile preventing the gate from losing its control ability for the short T-gate. Therefore, the ultra-thin barrier layer technology has shown great advantages in ultrahigh frequency and high power [5][6].

In millimeter-wave applications, the gate length is shrunk to deep-submicron size, and the transverse dimension of the device needs to be scaled down at the same proportion. To avoid the short channel effect, the material structure with an ultra-thin barrier layer is used to solve the aspect ratio of the gate. The issue primarily results from the much stronger spontaneous and piezoelectric polarization of AlN/GaN compared to AlGaN/ GaN, leading to a much higher drain current in the HEMT channel, also allowing the use of a much thinner barrier layer. While along with the shrink of vertical device dimensions, increased gate leakage necessitates the use of a gate insulator<sup>[7-10]</sup>.

AlN barrier has been shown highly sensitive to the air and vapor for oxidation, consequently, surface treatment and passivation techniques play a significant role in the surface state. To achieve a low gate leakage current, materials with a wide bandgap are necessary, such as SiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub><sup>[7][9]</sup>. However, it is inevitable that these materials are deposited on the AlN surface when it is exposed to air, becoming contamination at the interfaces. On the other hand, in-situ deposition of SiN<sub>x</sub> is a promising way to realize proper interfaces, which guarantees the insensitivity of AlN surfaces to temperature change.

In this work, we demonstrated the AlN/ GaN MIS-HEMTs. By using in-situ  $SiN_x$  insulator, a maximum drain current  $I_{D,max}$  of 2. 2 A/mm was obtained at  $V_{GS}$ =2 V, it doubled  $I_{D,max}$  of the AlGaN/GaN HEMTs under the same condition. Transconductance  $G_{m,ext}$  of 509 mS/mm are also achieved. Moreover, the OFF-state drain leakage, as well as gate leakage current in the HEMTs, was reduced by the low interface state between AlN barrier and insulator, contributing to a low Schottky gate leakage of 4. 7×10<sup>-6</sup> A/mm at  $V_{GS}$  = -30 V and a low OFFstate drain leakage of 8. 2×10<sup>-5</sup> A/mm. Owing to the suppressed current collapse, when  $V_{DS}$  = 8 V, a high output power density of 2. 3 W/mm with peak power-added-efficiency (PAE) of 45. 2%, and a power gain of 10. 2 dB are achieved at 40 GHz in the continuous-wave (CW) mode.

#### 1 Experiments

The schematic cross section of MIS-HEMTs is shown in Fig. 1(a). The AlN/GaN heterostructures in this study were grown on semi-insulating SiC substrates by metal-organic chemical vapor deposition (MOCVD), consisting of a Fe-doped GaN buffer layer, an unintentionally doped GaN channel layer, 1 nm AlN spacer layer, a 5 nm AlN barrier layer, and 5 nm SiN, insulator layer. Device fabrication was started with source/drain ohmic contact formation by Ti/Al/Ni/Au stack, and subsequent rapid thermal annealed at 800 °C for 30 s in N<sub>2</sub> atmosphere, to yield a contact resistance of 0.3  $\Omega \cdot mm$ . Device isolation was then formed utilizing multiple-energy nitrogen ion implantation. A T-shaped gate was subsequently accomplished by electron beam lithography (EBL; model manufacturer) of UVIII/Al/PMMA resist stack. The width of the T-gate foot and head are 0.15 and 0.6  $\mu$ m, respectively<sup>[2]</sup>. A Ni/Au metal layer was generated by e-beam evaporation (EVA450) on  $SiN_x$ 's surface for the gate contact. Finally, the AlN/GaN



Fig.1 (a) The schematic of epitaxial structure of AlN/GaN MIS-HEMTs, (b) the SEM of 0.15-µm T-gate 图 1 (a)外延材料与器件结构示意图,(b)0.15 µmT型栅扫描 电镜图

HEMT devices were passivated with 60 nm stress-free  $SiN_x$  grown by plasma-enhanced chemical vapor deposition (PECVD). The fabricated MIS-HEMTs have a

source-drain distance  $(L_{\rm SD})$  of 2.4  $\mu$ m and a gate-drain distance  $(L_{\rm GD})$  of 1.15  $\mu$ m. An SEM picture of the T-gate is shown in Fig. 1(b).

As a comparison, AlGaN/GaN HEMT devices are also developed, with the barrier and cap layers replaced with a 21-nm  $Al_{0.25}Ga_{0.75}N$  and a 3-nm GaN layers, respectively, as Ref. [16]. The gate recessed process, which differs from the AlN/GaN device's, uses inductively coupled plasma (ICP) dry etching with chlorine-based plasmas of BCl<sub>3</sub> and Cl<sub>2</sub> to fabricate recessed-gate

with a width of 0.8  $\mu$ m and depth of 6 nm. Then the same T-shaped gates were fabricated on it. The remaining process steps are the same as for AlN/GaN devices.

# 2 Results and discussions

#### 2.1 DC measurement

The fabricated devices yielded in this study exhibit a typical static characterization, as shown in Fig. 2(a). Due to the much stronger spontaneous and piezoelectric polarization of AlN/GaN, a maximum drain current of



Fig. 2 Measured dc characteristics of devices (a)  $I_{\rm D}$  of both HEMTs and MIS-HEMTs versus  $V_{\rm DS}$  with  $V_{\rm GS}$  varied from -6 V to 2 V, (b) gate leakage of HEMTs and MIS-HEMTs with  $V_{\rm GS}$  swept to -30 V, (c)  $I_{\rm D}$  and extrinsic transconductance of MIS-HEMTs with  $V_{\rm GS}$  varied from -6 V to 2 V at  $V_{\rm DS}$ = 6 V, (d)  $I_{\rm D}$  and extrinsic transconductance of HEMTs with  $V_{\rm GS}$  varied from -6 V to 3 V at  $V_{\rm DS}$ = 6 V (g)  $\mathbb{Z}$  器件直流特性测试(a) HEMT和MIS-HEMT器件输出电流特性测试对比图, (b) HEMT和MIS-HEMT器件背持基特性测试 对比图, (c)MIS-HEMT器件转移特性测试图, (d) HEMT器件转移特性测试图

2. 2 A/mm at  $V_{\rm cs}$ =2 V was observed. The thickness of the in-situ SiN<sub>x</sub> cap layer is critical for highly scaled GaN devices to avoid gate leakage current contributing to a reverse density of 4. 7×10<sup>-6</sup> A/mm at  $V_{\rm cs}$  = -30 V, as shown in Fig. 2 (b). The short-channel effect was effectively suppressed by the thin barrier, as shown by the transfer curves in Fig. 2 (c), and the OFF-state drain leakage is merely 1. 0×10<sup>-6</sup> A/mm. Meanwhile the corresponding  $G_{\rm m,ext}$  at  $V_{\rm DS}$  = 6 V is 509 mS/mm (Fig. 2 (c)). Based on AlGaN barrier device,  $G_{\rm m,ext}$  is 294 mS/mm and the OFFstate drain leakage is 8. 2×10<sup>-5</sup> A/mm under the same test condition (Fig. 2(d)).

## 2.2 The small-signal RF characteristics

The small-signal RF characteristics of the fabricated MIS-HEMTs were measured using a network analyzer in a frequency range from 100 MHz to 40 GHz. Values of current-gain cutoff frequency  $f_{\rm T}$  and unit-power-gain frequency  $f_{\rm MAX}$ , as shown in Fig. 4, were determined by 20 dB/dec line extrapolated from the small-signal current gain lh21land maximum stable gain (MSG). At  $V_{\rm DS}$ =10 V,  $f_{\rm T}$  and  $f_{\rm MAX}$  are 98 GHz and 165 GHz, respectively (Fig. 3). It implies that in-situ SiN<sub>x</sub> technology effectively suppresses the RF- $G_{\rm m}$  collapse in mm-wave AlN/ GaN HEMTs.



Fig. 3 Small-signal characteristics of the fabricated AlN/GaN MIS-HEMTs at  $V_{\rm DS} = 10$  V 图 3  $V_{\rm DS} = 10$  V下AlN/GaN MIS-HEMTs 器件小信号测试图

#### 2.3 CV and pulse measurement

To determine the quality of in-situ SiN<sub>x</sub>, the capacitance-voltage (C-V) measurement was employed to realize interface trap density. The frequency/temperature dispersions of the second slope in C-V curve were analyzed<sup>[11-13]</sup>, and the results are shown in Fig. 4. With f<sub>m</sub> varying from 1 KHz to 1 MHz (Fig. 4(a)), and T increasing from 25 °C to 150 °C (Fig. 4(b)), the C-V characteristics of AlN/GaN MIS-HEMT exhibits a slight ( $\Delta V$  less than 0.05 V) dispersions in multi-f/T ac-CV characteristics, indicating low Dit and high interface quality in MIS-HEMT. Accordingly, D<sub>it</sub> at the in-situ SiN<sub>x</sub>/AlN interface was mapped against E<sub>T</sub><sup>[14-15]</sup>. From E<sub>c</sub>-0.58 eV to E<sub>c</sub> -0.29 eV, D<sub>it</sub> falls between 3.4×10<sup>11</sup> and 1.1×10<sup>12</sup> cm<sup>-2</sup>eV<sup>-1</sup> (Fig. 4(c)).

The low interface state density ensures the low dc-RF dispersion, the pulse I-V characteristic of the devices is shown in Fig. 5 (a). The pulse period and width were set to 10  $\mu$ s and 200 ns, respectively. The gate-lag effect under a quiescent bias of  $(V_{CSQ}, V_{DSQ}) = (-6 \text{ V}, 0)$ 



Fig. 4 f/T-dependent C-V characteristics of AlN/GaN MIS-HEMTs with (a)  $f_m$  varying from 1 KHz to 1 MHz, (b) T increasing from -25 °C to 150 °C  $f_m$  varying at 10 KHz and 20 KHz (c)  $D_a$ - $E_T$  mapping in AlN/GaN MIS-HEMTs

图 4 (a) AIN/GaN MIS-HEMTs 不同频率下的 CV 测试图,(b) 频率 10 HKz 和 20 KHz 下 AIN/GaN MIS-HEMTs 从 -25 到 150 ℃的 CV 特性测试图,(c) AIN/GaN MIS-HEMTs 多频-变温下计算的 D<sub>4</sub>-E<sub>7</sub>关系图

V) barely changes in the MIS-HEMTs. The drain-lag ratio under a quiescent bias of  $(V_{GSQ}, V_{DSQ}) = (-6 \text{ V}, 15 \text{ V})$ is pretty weak in the saturation region (collapse ratio: 1.5%, Fig. 5(a)). It is probably due to the N in the SiN<sub>x</sub> rather than the AlN barrier that leads N vacancies creating a conducting channel through the AlN barrier, hence low annealing temperature and time. The in-situ  $\operatorname{SiN}_x$  impeded the formation of nitrogen deficiency and oxidation of bare AlN surface when conventional process of ohmic annealing at above 800 °C, and suppressed damage to the AlN barrier during the process of extra  $\operatorname{SiN}_x$  ex-situ passivation. The ultralow dispersion implies that in-situ  $\operatorname{SiN}_x$  effectively obstructed the bombardment of ion when the plasm was generated. As shown the pulsed transfer characteristics curves in Fig. 5 (b), hysteresis is less than 100 mV after sweeping from -8 V to 0 V, indicating significant suppression of deep interface traps with in-situ insulator.



Fig. 5 Pulsed I-V characteristics of (a) output characteristics measured at  $V_{\rm GS} = 0$  V, (b) transfer characteristics measured at  $V_{\rm DS} = 10$  V

图 5 脉冲测试图(a) $V_{cs} = 0$ V下,不同静态偏置下饱和输出电流测试对比图,(b) $V_{bs} = 10$ V时不同静态偏置下转移特性对比测试图

#### 2.4 Large-signal measurement

Figure 6 depicts the large-signal power performance of the mm-wave AlN/GaN MIS-HEMTs, evaluated at 40 GHz in CW mode, in comparison with AlGaN/GaN HEMTs. The devices were biased at Class-AB condition with low operation voltage,  $V_{\rm DS} = 8$  V,  $V_{\rm DS} = 10$  V, and  $V_{\rm DS} = 15$  V, respectively. Load and source impedance were optimized for the best PAE before the evaluation.

Owing to the enlarged current density and minimized forward gate leakage current of AlN/GaN MIS-HEMTs, a record high PAE of 45.2% is achieved at  $V_{\rm ps}$  = 8 V, and the corresponding output power density and associated gain are 2.3 W/mm and 10.8 dB gain. By contrast, the PAE, output power density, and gain of AlGaN/GaN HEMTs are merely 42.6%, 1.2 W/mm, and 9.1 dB respectively. when  $V_{\rm DS} = 10$  V,  $P_{\rm out}$  of AlN/ GaN MIS-HEMTs reached 3.3 W/mm while that of Al-GaN/GaN HEMTs is 1.5 W/mm; when  $V_{\rm DS} = 15$  V,  $P_{\rm out}$ of AlN/GaN MIS-HEMTs increased to 5.2 W/mm while that of AlGaN/GaN HEMTs is 2.8 W/mm. In previous research using the AlGaN HEMTs structure,  $P_{\text{out}}$  of 5.1 W/mm can be only obtained under  $V_{\text{DS}}$  over 25 V<sup>[16]</sup>. The high performance of AlN/GaN HEMTs is believed to attribute to the wide conduction band between AlN and GaN, as well as the high-quality SiN,/AlN interface.

At low voltage, the power density of AlN / GaN thin barrier MIS-HEMTs based on in-situ SiN growth is nearly double that of AlGaN barrier devices, making them promising for low voltage applications.

## 3 Conclusions

With in-situ SiN, technique on AlN/GaN epi-structure and T-gate process, high-performance MIS-HEMTs have been fabricated for low  $V_{\rm DS}$  applications at Kaband. A high-quality SiN/AlN interface has been obtained, which was verified by analyzing the frequency and temperature-dependent of the second slope in the C-V characteristics. Using 0.15  $\mu$ m  $\Gamma$ -shaped gate technology, the developed MIS-HEMTs show a maximum drain current of 2. 2 A/mm at  $V_{cs}=2$  V, an extrinsic peak  $G_{m,ext}$  of 509 mS/mm, extra-low dc-RF dispersion. The drain-lag ratio of 1.5% under a quiescent bias of ( $V_{GSO}$ )  $V_{\rm DSO}$ ) = (-6 V, 15 V) collapse-ratio in the saturation region. the MIS-HEMTs can yield an output power density of 2.3 W/mm associated with power-added efficiency (PAE) of 45.2% at 40 GHz under the drain voltage  $V_{\rm DS}$ = 8 V in continuous-wave mode. Furthermore, when  $V_{\rm DS}$ = 10 V, the power density was 3.3 W/mm, and PAE maintain 43.8%; when  $V_{\rm DS}$ = 15 V, power density increased to 5.2 W/mm with PAE decreasing to 42.2%. The results suggest that the in-situ AlN/GaN MIS-HEMTs are promising for low bias voltage applications requiring high-efficiency and high-power density at Millimeter Waves.

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Fig. 6 Large-signal measurements at 40 GHz in CW mode (a)  $V_{DS}=8$  V, AlN/GaN MIS-HEMTs measurement, (b)  $V_{DS}=8$  V, AlGaN/GaN HEMTs measurement, (c)  $V_{DS}=10$  V, AlN/GaN MIS-HEMTs measurement, (d)  $V_{DS}=10$  V, AlGaN/GaN HEMTs measurement, (e)  $V_{DS}=15$  V, AlN/GaN MIS-HEMTs large-signal measurement, (f)  $V_{DS}=15$  V, AlGaN/GaN HEMTs measurement

图 6 40 GHz 下大信号连续波测试(a) V<sub>Ds</sub>=8 V, AlN/GaN MIS-HEMTs 测试结果,(b) V<sub>Ds</sub>=8 V, AlGaN/GaN HEMTs 测试结果,(c) V<sub>Ds</sub>=10 V, AlN/GaN MIS-HEMTs 测试结果,(d) V<sub>Ds</sub>=8 V, AlGaN/GaN HEMTs 测试结果,(e) V<sub>Ds</sub>=15 V, AlN/GaN MIS-HEMTs 测试结果,(f) V<sub>Ds</sub>=15 V, AlGaN/GaN HEMTs 测试结果

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