

## 1 550 nm VCSELs for long-reach optical interconnects

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**Abstract:** Long-wavelength VCSELs on an InP substrate was designed and fabricated with an active layer of 1550 nm. The top Distributed Bragg Reflection (DBR) mirror system has been constructed by fabricating 4.5 pairs of SiO<sub>2</sub>/Si top DBRs. The threshold current was 20 mA and maximum output power around 7 μW under continuous wave (CW) operation at room temperature. More importantly, the lasing spectrum is 1554 nm and the full width at half maximum is 3 nm.

**Key words:** vertical cavity surface emitting laser (VCSEL), 1550 nm, InP-based

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## 面向长距离通讯 1 550 nm 垂直腔面发射激光器的研究

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**摘要:** 采用 InP 基衬底设计并制备了 1550 nm 垂直腔面发射激光器。采用混合镜面布拉格发射镜, 其中顶部采用 4.5 对硅和二氧化硅的介电布拉格反射镜, 同时采用隧道结的方式降低 p 层载流子吸收。制备出阈值电流在 20 mA, 室温直流下输出光功率为 7 μW, 激射波长为 1554 nm, 激射谱半高宽为 3 nm 的垂直腔面发射激光器。

**关键词:** 垂直腔面发射激光器; 1550 nm; InP 基

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### Introduction

Vertical cavity surface emitting lasers (VCSELs) have long been predicted as economic laser alternatives for various applications such as optical communications, sensing and imaging<sup>[1-3]</sup>. One of the major advantages of a VCSEL is its explicitly emission from the top surface. Compared with edge emission, the unique top emission can not only optimize the laser shape of beam, but also significantly improve the coupling efficiency into fibers

and grating couplers. The VCSELs comprise multiply quantum wells (QWs) active region sandwiched between two highly reflective mirrors, which are epitaxial growth on a GaAs or InP substrate. Compared with GaAs-based short-wavelength VCSELs, long-wavelength VCSELs have attracted an increasing attention for the development of optical interconnects, and fiber-to-the-home applications as well as technologies that are integrated with Si-photonics<sup>[4-8]</sup>. 1 550 nm VCSELs have a low fiber attenuation (due to a lower band gap), higher eye safe

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maximum limit power, lower operation voltage and the application in long-reach optical interconnects<sup>[9]</sup>. However, there are many challenges that should be overcome in prevalent technology. The advantages of oxide confined VCSELs are low voltage and high wall plug efficiency. On the other hand, there is much work that was conducted to the development of InP-based VCSELs. However, most of these endeavors dedicated to this research are lack of success. The first reason for this is the drawback of thick DBR. The thickness of a 1 550 nm DBR is about 12  $\mu\text{m}$  which requires a long epitaxial time, thus a precise uniformity control of thickness and index contrast key parameters are needed. Second, there is a narrower spectrum bandwidth due to the smaller index difference between the  $\text{Al}_x\text{Ga}_y\text{In}_{(1-x-y)}\text{As}$  composition chosen for that wavelength and InP. Third, the free carrier absorption in the p-type layers in the long wavelength region is quite high especially for 1 550 nm. Last one but not least, there is no limited epitaxial layer, such as GaAs-based oxide. Recently, drastic improvements have been achieved on 1 550 nm InP-based VCSELs by finding solutions to the issues mentioned. For example, Connie J. Chang-Hasanin group from University of California at Berkeley<sup>[10-12]</sup> focus on a high contrast grating (HCGs) as a top mirror which is 2% ~ 5% of DBR thickness and  $10^4$  times reduction in volume of VCSELs. Markus C Amann team<sup>[13-15]</sup> have successfully designed and fabricated 1 550 nm VCSELs for a high-speed operation and tunable wavelengths. In China, there are some research institute which focus on the wavelength range from 850 to 980 nm<sup>[16-19]</sup>. Single-mode emission, high-speed operation, high-power generation, wavelength tuning on 980 nm are described in the respective fields. However, VCSEL of long-wavelength spectral regimes especially 1 550 nm are much less reported.

In this work, we report the lasing characteristics of 1550 nm VCSELs with hybrid DBRs between  $\text{Al}_x\text{Ga}_y\text{In}_{(1-x-y)}\text{As}/\text{InP}$  and  $\text{SiO}_2/\text{Si}$ . VCSELs of 1550 nm wavelength show 20 mA threshold current. Output power is around 7  $\mu\text{W}$  under CW operation at room temperature. The lasing spectrum is 1554 nm under CW operation which the full width at half maximum is 3 nm.

## 1 Device structure and fabrication

Figure 1 shows top microscope image of a fabricated VCSEL. Wafers are grown on 2 inch Si-InP (100) substrates in a multiple-wafer metal organic chemical vapor deposition (MOCVD) reactor. The bottom DBR consists of over 45 pairs of InP and  $\text{Al}_x\text{Ga}_y\text{In}_{(1-x-y)}\text{As}$  lattice-matched to InP. The active region constitutes 6 strain compensated  $\text{Al}_x\text{Ga}_y\text{In}_{(1-x-y)}\text{As}/\text{InP}$  QWs. Above the active layers, a  $\text{p}^+-\text{Al}_x\text{Ga}_y\text{In}_{(1-x-y)}\text{As}/\text{n}^+-\text{Al}_x\text{Ga}_y\text{In}_{(1-x-y)}\text{As}$  tunnel junction is grown. Carbon was used as p-type dopant since carbon has low diffusivity compared with zinc<sup>[20]</sup>. The bandgap of  $\text{Al}_x\text{Ga}_y\text{In}_{(1-x-y)}\text{As}$  in the tunnel junction is higher than the photon energy at the lasing wavelength to avoid optical absorption. For the same purpose, the thin tunnel junction is designed at a node of the standing wave of the VCSELs. After the growth of the tunnel junction,

a n-type InP layer was regrown. The whole wafer was patterned with photolithography and circular mesas were formed for blocking the current outside by proton implantation. Next, anode electrodes with Ti/Pt/Au were formed on the top side of n-type InP epitaxial layer as a contact structure to reduce the capacitance of devices. The top DBRs consist of amorphous-Si ( $\alpha$ -Si) and  $\text{SiO}_2$  evaporated by e-beam evaporation. In addition, the wafer was patterned with photolithography and circular were formed by ICP to top pad contract window. Finally, cathode electrodes were formed on the bottom side of the substrate with Au/Ge/Ni.

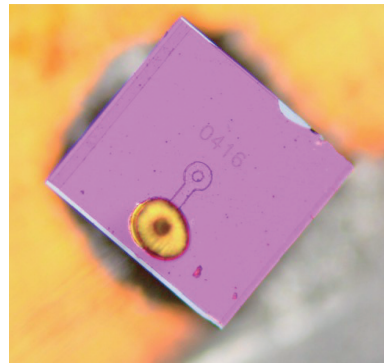


Fig. 1 Top microscope image of fabricated VCSEL  
图1 制备的VCSEL器件照片

## 2 Results and discussion

### 2.1 Current-light (I-L) characteristics

Figure 2 shows the *I-L* characteristics of 1550 nm VCSELs with 12  $\mu\text{m}$  diameter tunnel junction apertures at room temperature (about 20  $^\circ\text{C}$ ). The threshold current ( $I_{\text{th}}$ ) is 20 mA. Output power ( $P_{\text{out}}$ ) increases rapidly with driving current ( $I$ ) above  $I_{\text{th}}$  and the maximum output power was around 7  $\mu\text{W}$  under CW 60 mA.

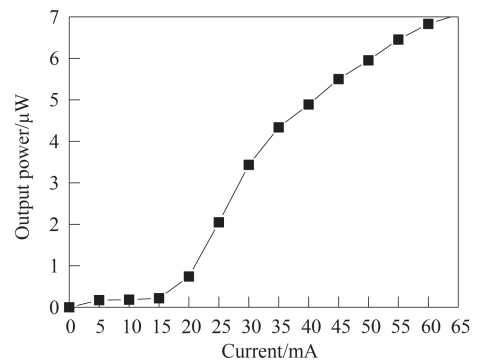


Fig. 2 *I-L* characteristics of fabricated VCSEL under CW operation at room temperature

图2 室温连续输出工作状态下, VCSEL 的电流-输出特性曲线

$I_{\text{th}}$  is given by:

$$I_{\text{th}} = A_a j_{\text{th}} = \frac{qV_a}{\eta_i \tau_{\text{sp}}} n_{\text{th}} \approx \frac{qV_a B}{\eta_i} n_i^2 \exp\{2g_{\text{th}}/g_i\}, \quad (1)$$

$A_a$  is active material area. The threshold current density then follow as  $j_{th}$ .  $q$  is the elementary charge and  $\eta_l$  is the current injection efficiency accounting for lateral leakage currents and carrier overflow over confining barriers. The spontaneous recombination lifetime  $\tau_{sp}$  depends on the carrier density. The term  $n_{th}$  and  $n_l$  have the meaning of a threshold carrier density and a transparency carrier density, respectively. The active volume  $V_a = A_a d_a$  with  $d_a$  is active material thickness.  $B$  is Boltzmann constant. The threshold gain is  $g_{th}$  and  $g_l$  is constant. From Eq. 1, we can find that  $I_{th}$  depends on  $n_l$ ,  $V_a$ ,  $\eta_l$  ect. In other words, when the numbers of quantum well in VCSEL devices is the same, the DBR reflectivity required is high to achieve low threshold current and high output power. As can be seen from Fig. 3, the cavity mode is located at 1532 nm, the overall reflectance is 95%, and the reflectance is 94% at 1532 nm. It can be concluded from the actual experimental value that the threshold current is 20 mA and the output power is 7  $\mu$ W, indicating that the reflectance of DBR on both sides of the quantum well is low in the actual value, the transmittance of the cavity mode position is about 1%, resulting in the low output power of the device. Subsequently, the reflectivity of the upper and bottom DBR should be increased.

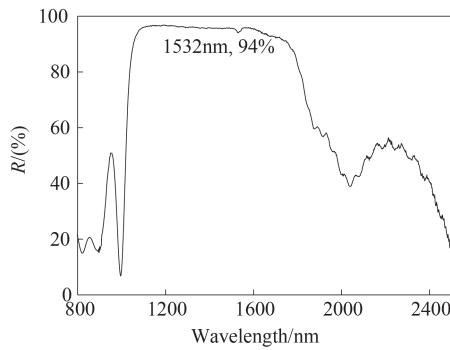


Fig. 3 Reflection spectra and the cavity mode of the VCSEL structure

图3 VCSEL 外延结构的反射谱

$P_{out}$  is given by<sup>[21]</sup>:

$$P_{out} = \eta_e \frac{\hbar\omega}{q} (I - I_{th}) \quad , \quad (2)$$

$$V(I) = V_0 + IR_s \quad , \quad (3)$$

where  $\eta_e$  is differential quantum efficiency.  $\hbar$  is Planck constant,  $\hbar = 6.62607015 \times 10^{-34} \text{ J}\cdot\text{s}$ .  $\omega$  is photon frequency.  $R_s$  denotes the differential series resistance. The kink voltage  $V_0$  is related to the separation of quasi-Fermi energies but can be approximated by  $V_0 \approx \hbar\omega/q$ .

$$\eta_e(I) = \frac{P_{out}}{IV} = \frac{\eta_e(\hbar\nu/q)(I - I_{th})}{I(V_0 + IR_s)} \quad . \quad (4)$$

Equation 4 shows that for  $I \gg I_{th}$  the series resistance is responsible for the decrease of  $\eta_e$  with increasing current. Efficiency is maximized at the laser current

$$I_0 = I_{th} \left(1 + \sqrt{1 + \xi}\right) \text{ with } \xi = V_0/I_{th}R_s \quad . \quad (5)$$

From which the maximum conversion efficiency is

obtained as

$$\eta_{max} = \eta_e \frac{\hbar\nu}{qV_0} \frac{\xi}{\left(1 + \sqrt{1 + \xi}\right)^2} = \eta_e \frac{\hbar\nu}{qV_0} f_c(\xi) \quad , \quad (6)$$

$$P_{max}(I_0) = \eta_e \left(\hbar\nu/q\right) I_{th} \sqrt{1 + \xi} \quad . \quad (7)$$

It becomes clear that obtaining maximum conversion efficiency is one of the most challenging topics increasing the factor of  $\xi$ , namely increasing the production of threshold current and resistance<sup>[22]</sup>. On the other hand, differential quantum efficiency  $\eta_e$  is defined as the ratio of mirror loss and mirror loss plus internal loss.

$$\eta_e = \frac{\tau_p}{\tau_{p,m}} \approx \frac{\alpha_m}{\alpha_i + \alpha_m} = \frac{1}{1 - \alpha_i L_{eff} / \ln \sqrt{R_t R_b}} \quad , \quad (8)$$

where  $\tau_p$  is proton lifetime,  $\tau_{p,m}$  is proton lifetime including mirror loss.  $\alpha_m$  is mirror loss from emission through the top and bottom mirror.  $\alpha_i$  is internal loss.  $L_{eff}$  is effective cavity length.  $R_t$  is top mirror reflectivity.  $R_b$  is bottom mirror reflectivity. As shown Eqs. 2-8, the main reasons for output power are followed by: (1) The strongest increase occurs with the horizontal electron leakage. This leakage current from the MQW active region into devices. In order to confine current, we can improve that buried tunnel junction can be employed. (2) The heating from device leads to a reduction of the differential quantum efficiency. Heat sink TEC can be added to control device temperature. (3) During the epitaxial growth, the interface is not ideal in the experiment process. Four times lithography processes before sputtering  $\text{SiO}_2/\text{Si}$  DBR are used. Any particle residue in the interface after the cleaning process or the reflectance coatings poor quality can lead to light absorption and loss. We should strictly control the processed or adjustment processes order.

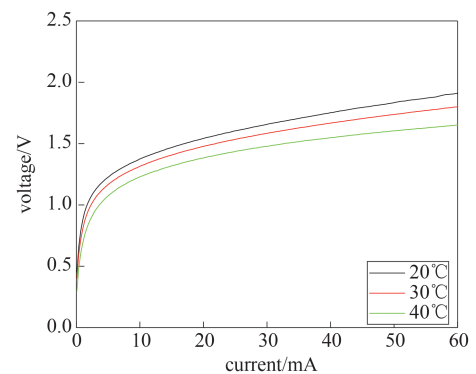


Fig. 4  $I$ - $V$  characteristics of fabricated VCSELs under CW operation at room temperature

图4 所制备的VCSEL器件在室温连续输出工作状态下的电流-电压曲线

The typical  $I$ - $V$  characteristics of devices are shown in Fig. 4. From Eq. 3, the kink voltage  $V_0$  is related to the separation of quasi-Fermi energies but can be approximated by  $V_0 \approx \hbar\omega/q \approx 1.2 \text{ V}$ . The resistance  $R_s$  for the 12- $\mu\text{m}$  devices is around 25  $\Omega$ . As is shown in Fig. 3, the temperature dependence of  $I$ - $V$  characteristics of sample is measured under CW operation. This is because the

current path is like structure of a half cavity. The voltage and series resistance decrease as increasing temperature from Fig. 4, which is in band gap narrowing and barrier height declining from heterojunction.

## 2.2 Lasing spectra

The emission wavelength of a VCSEL is controlled by the resonator rather than the spectral position of the gain peak. For perfect alignment with emission wavelength, we have peak gain  $g_p = 0$ . Figure 5 shows spectra under different injected current of 25, 40 and 60 mA. Single fundamental transverse mode operation was achieved for different current range. The wavelengths under different injected current are 1 551 nm, 1 552 nm and 1 554 nm under different injected current respectively. The wavelength shows that the center reflectivity spectrum of bottom DBR, gain and the center reflectivity spectrum of top DBR are matched with each other. The main reason of wavelength shift with different injected current is caused by Joule heat<sup>[23]</sup>, which is mainly generated in the p-doped layers. Recombination heat is generated in the quantum wells, as well as negative Thomson heat which accounts for the capture and escape of quantum-well carriers (carrier escape requires energy and it removes heat from the lattice). Optical absorption heat is also strongest in the quantum wells due to absorption by holes. On the other hand, the cavity mode has red shift with the increase of temperature, which leads to lasing spectrum drift, mainly because the refractive index of  $\text{Al}_x\text{Ga}_y\text{In}_{(1-x-y)}\text{As}$  material increases with the steady rise, and finally leads to the overall reflectance red shift of the device. Since the refractive index of  $\text{Al}_x\text{Ga}_y\text{In}_{(1-x-y)}\text{As}$  material changes very little with the temperature, the spectral drift rate is also very small. The full width at half maximum (FWHM) of lasing spectrum is about 3 nm under 60 mA current injected.

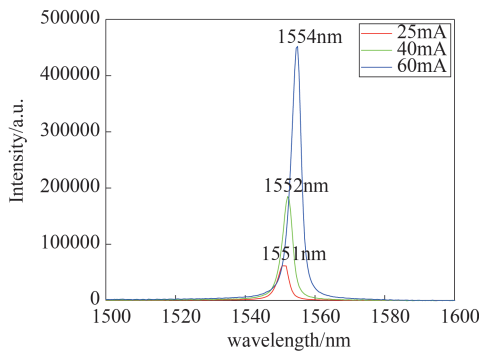


Fig. 5 The lasing spectra of fabricate VCSEL  
图5 所制备的VCSEL在不同电流时的出射光谱

## 3 Conclusions

In summary, the lasing operation of 1550 nm VCSELs has been demonstrated. The electrical properties of VCSEL were studied using  $I$ - $V$  characteristics and  $I$ - $P$  characteristics measurements. The threshold current was 20 mA and the maximum output power was around 7  $\mu\text{W}$  under CW 60 mA. The wavelength of lasing spectra is 1 554 nm and the FWHM is 3 nm. We analyse the threshold cur-

rent and output power from both theory and experiments. We believe that InP-based VCSELs can be strong candidates for low-cost and long-reach optical interconnects.

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