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# AlGaIn/GaN HEMT with 200 GHz $f_{\max}$ on sapphire substrate with InGaIn back-barrier

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**Abstract:** A gate-recessed AlGaIn/GaN high electron mobility transistor (HEMT) on sapphire substrate having  $f_{\max}$  of 200 GHz is reported. The gate-recessed device with a T-shaped gate exhibits a maximum drain current density of 1.1 A/mm, and a peak value of 421 mS/mm for extrinsic transconductance with minimum short-channel effects because of an InGaIn back-barrier layer. A unity current gain cut off frequency ( $f_T$ ) of 30 GHz and a maximum oscillation frequency ( $f_{\max}$ ) of 105 GHz were obtained. After removing SiN by wet etching, the  $f_T$  of the device increase from 30 GHz to 50 GHz and the  $f_{\max}$  increases from 105 GHz to 200 GHz, which are the results of lower  $C_{gs}$  and  $C_{gd}$  after removing of  $\text{Si}_3\text{N}_4$ .

**Key words:** AlGaIn/GaN; HEMT; sapphire substrate;  $f_{\max}$ ; InGaIn back-barrier; wet etching

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## 最大振荡频率为 200 GHz 的蓝宝石衬底 AlGaIn/GaN HEMT

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**摘要:**报道了最大振荡频率为 200 GHz 的基于蓝宝石衬底的 AlGaIn/GaN 高电子迁移率晶体管 (HEMT)。外延材料结构采用 InGaIn 背势垒层来减小短沟道效应, 器件采用凹栅槽和 T 型栅结合的工艺, 实现了 Ka 波段 AlGaIn/GaN HEMT。器件饱和电流密度达到 1.1 A/mm, 跨导为 421 mS/mm, 截止频率 ( $f_T$ ) 为 30 GHz, 最大振荡频率 ( $f_{\max}$ ) 为 105 GHz。采用湿法腐蚀工艺将器件的  $\text{Si}_3\text{N}_4$  钝化层去除后, 器件的  $C_{gs}$  和  $C_{gd}$  减小, 器件截止频率提高到 50 GHz, 最大振荡频率提高到 200 GHz。

**关键词:** AlGaIn/GaN; HEMT; 蓝宝石衬底;  $f_{\max}$ ; InGaIn 背势垒; 湿法腐蚀

**中图分类号:** TN325+.3 **文献标识码:** A

### Introduction

Great development of AlGaIn/GaN high-electron mobility transistors (HEMTs) has been achieved in recent years. AlGaIn/GaN HEMTs have demonstrated high current levels, high breakdown voltages, and high frequency power performance due to the unique properties of the material. At Ka-band and higher frequency, excellent performances were reported, such as output power densities in excess of 10 W/mm at 40 GHz and

more than 2 W/mm at 80.5 GHz on SiC substrates<sup>[1-3]</sup>.

However, for a Ka-band power device there are still some problems to be solved such as short-channel effects<sup>[4-6]</sup>, higher  $f_{\max}$  and so on. The short-channel effects deteriorate the pinch-off characteristic; the threshold voltage will reduce with the increase of the drain voltage. The short-channel effects also limit the high-frequency characteristics, which increasing non-linearities in cut off frequency and maximum oscillation

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frequency of the devices. Some effective methods were reported to restrain short-channel effects, for example, introducing an extrinsic impurity such as Fe and inserting an InGaN back-barrier on the GaN buffer layer<sup>[7-8]</sup>.

Another problem is how to increase the maximum oscillation frequency ( $f_{\max}$ ) which describing the devices' power ability. Some effective methods were reported: using gate-recess technology, optimizing the structure of the device and so on<sup>[9]</sup>.

In this article, we report a gate-recessed AlGaIn/GaN HEMT on sapphire substrate having  $f_{\max}$  of 200 GHz.

## 1 Experimental

The AlGaIn/GaN transistor structure was grown on a sapphire substrate by MOCVD. The heterostructure is consisted of a 1.5  $\mu\text{m}$ -thick GaN buffer layer, a 80Å  $\text{In}_{0.05}\text{Ga}_{0.95}\text{N}$  back-barrier layer, a 80Å GaN layer, a 1nm AlN layer, a 14 nm undoped AlGaIn layer in which the Al composition is 30%, and an undoped 15Å-thick GaN cap layer, as shown in Fig. 1. The 2DEG mobility and sheet carrier concentration were 1296  $\text{cm}^2/\text{V}\cdot\text{s}$  and  $1.37 \times 10^{13} \text{ cm}^{-2}$ , respectively.

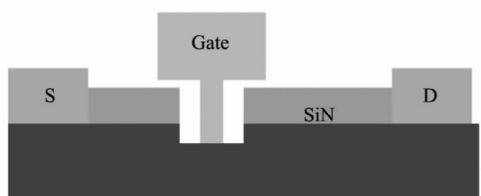


Fig. 2 Schematic of AlGaIn/GaN HEMTs devices  
图2 AlGaIn/GaN HEMT 器件示意图

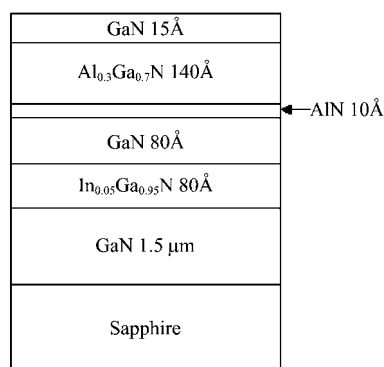


Fig. 1 Structure of epi-layers  
图1 外延层结构

Source and drain ohmic electrodes were formed by evaporating Ti/Al/Ni/Au, which was then alloyed using rapid thermal annealing at 850°C for 30 seconds. The contact resistivity evaluated by transmission-line matrix measurements was  $10^{-6} \Omega \cdot \text{cm}^2$ . Device isolation was accomplished by ion implantation. A 120 nm-thick  $\text{Si}_3\text{N}_4$  was then deposited using plasma-enhanced CVD. After a gate footprint was opened through the SiN film using  $\text{SF}_6$  plasma dry etching, gate recess etching was performed using  $\text{Cl}_2$  and  $\text{BCl}_3$  plasma dry etching by ICP. Electron-beam lithography was applied to define a T-shaped gate using PMMA/Al/UVIII. Ni/Au was used as a gate metal, and then the device was provided with a Ti/Au metallization, as shown in Fig. 2.

Finally, the  $\text{Si}_3\text{N}_4$  film was wet etched by HF (49%):  $\text{NH}_4\text{F}$  (40%):  $\text{H}_2\text{O} = 1: 1: 1$ , then the 215 nm physical gate length was confirmed by scanning electron microscope (SEM), as shown in Fig. 3.

## 2 Results and discussion

A 75  $\mu\text{m}$  AlGaIn/GaN HEMT device was selected, with 2.4  $\mu\text{m}$  source and drain separation. The dc characteristics, the small signal RF characteristics were tested.

Fig. 4 shows the DC characteristics of the fabricated 215 nm gate-recessed AlGaIn/GaN HEMT. The maximum drain current at  $U_{\text{gs}} = 3 \text{ V}$  was 1.1 A/mm, and the peak transconductance at  $U_{\text{ds}} = 6 \text{ V}$  was 421 mS/mm. The breakdown voltage was over 100 V at  $U_{\text{g}} = -2 \text{ V}$ .

To study the short-channel effects of the AlGaIn/GaN HEMT device, the transconductances of the tran-

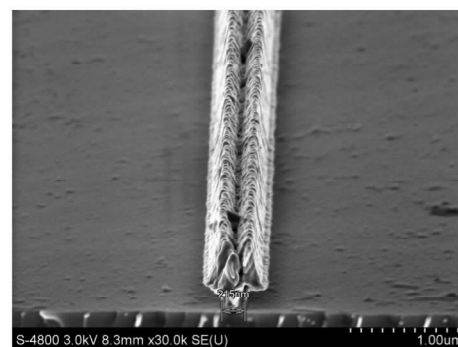


Fig. 3 SEM image of the 215 nm T-shaped gate  
图3 215 nm T型栅的SEM 照片

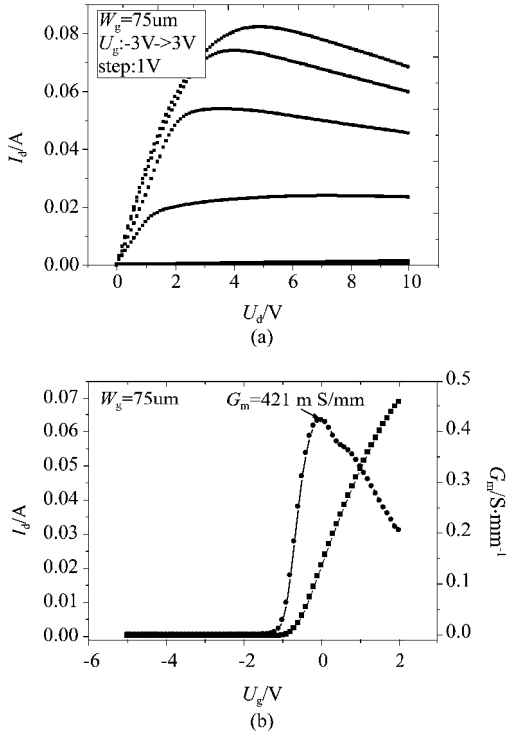


Fig. 4 Characteristics of AlGaIn/GaN HEMT (a) DC characteristics (b) transfer characteristics at  $U_{ds} = 6\text{V}$

图4 AlGaIn/GaN HEMT的特性 (a)直流特性 (b)  $U_{ds} = 6\text{V}$  时的转移特性

sistor at different drain voltages were measured. It can be seen in Fig. 5, the pinch-off characteristic of the device was excellent. The threshold voltage changed from  $-1.5\text{V}$  to  $-2.4\text{V}$  when drain voltages changing from  $10\text{V}$  to  $50\text{V}$ . And the drain-induced barrier lowering (DIBL) is  $30\text{ mV/V}$ .

It is because of a better confinement of the electrons in the 2DEG channel with an InGaIn back-barrier layer. Although the InGaIn layer has a narrower bandgap compared with the GaN layer, the strain-induced piezoelectric polarization in the InGaIn layer rai-

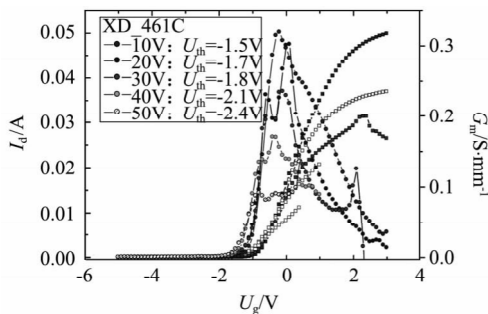


Fig. 5 Change in transfer characteristics with  $U_{ds}$   
图5 转移特性随  $U_{ds}$  的变化

ses the potential in the InGaIn layer, effectively creating a high potential barrier. This additional barrier at the backside of the channel leads to better carrier confinement and better buffer isolation, which in turn, enables improved device performance, such as better pinch-off characteristic and higher  $f_{max}$ .

The small signal RF measurements of AlGaIn/GaN HEMT were applied. Fig. 6 shows the plots of the current gain  $|H_{21}|$ , the maximum stable power gain (MSG) and maximum available gain (MAG) versus frequency for the device. It can be seen that the  $f_T$  is  $30\text{ GHz}$ , the  $f_{max}$  is  $105\text{ GHz}$ .

The  $\text{Si}_3\text{N}_4$  film of the device was wet etched by  $\text{HF}$  ( $49\%$ ) :  $\text{NH}_4\text{F}$  ( $40\%$ ) :  $\text{H}_2\text{O} = 1 : 1 : 1$ . Fig. 7 shows the DC characteristics of AlGaIn/GaN HEMTs devices before and after wet etching of  $\text{Si}_3\text{N}_4$  film.

It can be seen that after wet etching of  $\text{Si}_3\text{N}_4$  film, drain saturation currents at  $U_{gs} = 3\text{V}$  was reduced by  $20\%$ . The peak transconductance at  $U_{ds} = 6\text{V}$  was reduced by  $5\%$ .

Fig. 8 shows the plots of the small signal characteristics of AlGaIn/GaN HEMT before and after wet etching of  $\text{Si}_3\text{N}_4$  film. It can be seen that after wet etching of SiN film, the  $f_T$  increases to  $50\text{ GHz}$  and the  $f_{max}$  increases to  $200\text{ GHz}$ .

The small-signal equivalent circuit parameters of the device before and after wet etching of  $\text{Si}_3\text{N}_4$  film were extracted. Table 1 shows the small-signal equivalent circuit parameters,  $C_{gs}$  and  $C_{gd}$ .

表1 SiN 腐蚀前后器件的  $C_{gs}$  和  $C_{gd}$   
Table 1  $C_{gs}$  and  $C_{gd}$  of the device before and after wet etching of SiN

|                    | $C_{gs}$ (pF) | $C_{gd}$ (pF) |
|--------------------|---------------|---------------|
| Before wet etching | 0.111         | 0.02          |
| After wet etching  | 0.083         | 0.013         |

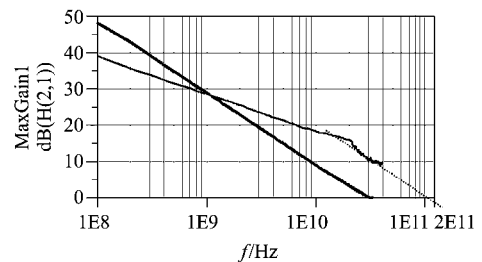


Fig. 6 Small signal characteristics of AlGaIn/GaN HEMT  
图6 AlGaIn/GaN HEMT 的小信号特性

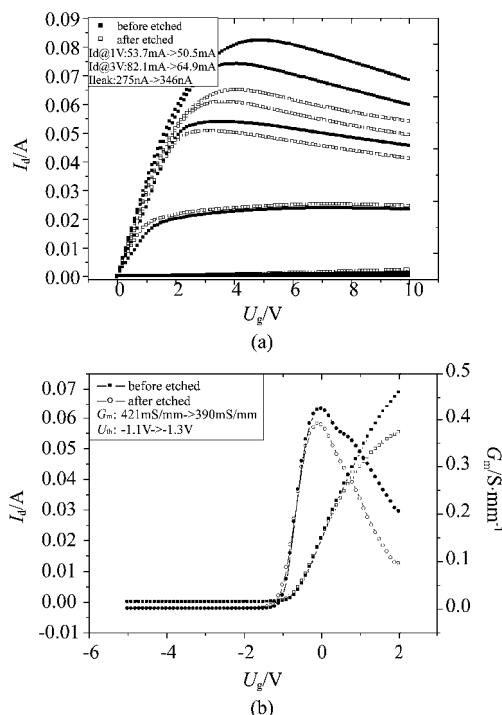


Fig. 7 Characteristics of AlGaIn/GaN HEMT before and after wet etching of SiN (a) DC characteristics (b) transfer characteristics at  $U_{ds} = 6$  V

图7 SiN 腐蚀前后, AlGaIn/GaN HEMT 的特性 (a) 直流特性 (b)  $U_{ds} = 6$  V 时的转移特性

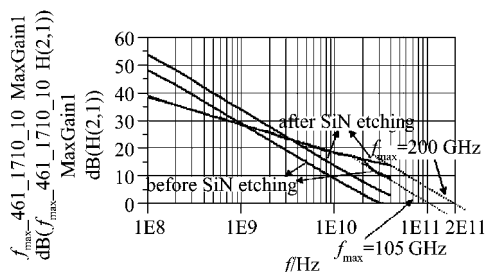


Fig. 8 Small signal characteristics of AlGaIn/GaN HEMT before and after wet etching of SiN

图8 SiN 腐蚀前后, AlGaIn/GaN HEMT 的小信号特性

With the removing of  $\text{Si}_3\text{N}_4$  film, the capacitances between passivation layer and gate metal are decreased. Thus,  $C_{gs}$  and  $C_{gd}$  are decreased. Then the  $f_T$  and  $f_{max}$  will increase based on Eq. 1 and 2.

$$f_T = \frac{g_m}{2\pi \left[ (C_{gs} + C_{gd}) \left( 1 + \frac{R_s + R_d}{R_{ds}} \right) + g_m C_{gd} (R_s + R_d) \right]}, \quad (1)$$

$$f_{max} = \frac{f_i}{\sqrt{2\pi t C_{gd} (R_s + 2R_g + R_i + \omega L_s) + \frac{4}{R_{ds}} (R_s + R_g + R_i + \frac{\omega L_s}{2})}}, \quad (2)$$

It can be seen that except  $C_{gs}$  and  $C_{gd}$ , the other parameters such as  $R_s$ ,  $R_d$ ,  $R_i$ ,  $R_g$ ,  $R_{ds}$ ,  $L_s$ , etc. also effect  $f_T$  and  $f_{max}$ . More works should be done to optimize the structure of AlGaIn/GaN HEMTs devices.

### 3 Conclusion

In this article, we report a gate-recessed AlGaIn/GaN HEMT on sapphire substrate having a  $f_{max}$  of 200 GHz. The gate-recessed device with a T-shaped gate exhibits a maximum drain current density as high as 1.1 A/mm, and a peak extrinsic transconductance of 421 mS/mm with minimum short-effects. A unity current gain cutoff frequency ( $f_T$ ) of 30 GHz and a maximum oscillation frequency ( $f_{max}$ ) of 105 GHz were obtained. After the  $\text{Si}_3\text{N}_4$  film wet etched, the  $f_T$  of the device increases to 50 GHz and the  $f_{max}$  increases to 200 GHz. The reason is that with the removing of  $\text{Si}_3\text{N}_4$  film,  $C_{gs}$  and  $C_{gd}$  are decreased.

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